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Selections from: **EXPERIENCES IN GROUPS**

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Using his psychoanalytic experience Freud (1913, 1921) attempted to illuminate some of the obscurities revealed by Le Bon, McDougall, and others in their studies of the human group. I propose to discuss the bearing of modern developments of psychoanalysis, in particular those associated with the work of Melanie Klein, on the same problems. Her work shows that at the start of life itself the individual is in contact with the breast and, by rapid extension of primitive awareness, with the family group; furthermore she has shown that the nature of this contact displays qualities peculiar to itself, which are of profound significance both in the development of the individual and for a fuller understanding of the mechanisms already demonstrated by the intuitive genius of Freud.

I hope to show that in his contact with the complexities of life in a group the adult resorts, in what may be a massive regression, to mechanisms described by Melanie Klein (1931, 1946) as typical of the earliest phases of mental life. The adult must establish contact with the emotional life of the group in which he lives, this task would appear to be as formidable to the adult as the relationship with the breast appears to be to the infant, and the failure to meet the demands of this task is revealed in his regression. The belief that a group exists, as distinct from an aggregate of individuals, is an essential part of this regression, as are also the characteristics with which the supposed group is endowed by the individual. Substance is given to the phantasy that the group exists by the fact that the regression involves the individual in a loss of his 'individual distinctiveness' (Freud, 1921, p. 9), indistinguishable from depersonalization, and therefore obscures observation that the aggregation is of individuals. It follows that if the observer judges a group to be in existence, the individuals composing it must have experienced this regression. Conversely, should the individuals composing a 'group' (using that word to mean an aggregation of individuals all in the same state of regression) for some reason or other become threatened by awareness of their individual distinctiveness, then the group is in the emotional state known as panic. This does not mean that the group is disintegrating, and it will be seen later that I do not agree that in panic the group has lost its cohesiveness.

In this paper I shall summarize certain theories at which I have arrived by applying in groups the intuitions developed by

present-day psychoanalytic training. These theories differ from many others, in merits and defects alike, in being educed in the situations of emotional stress that they are intended to describe. I introduce some concepts new to psychoanalysis, partly because I deal with different subject matter, partly because I wanted to see if a start disencumbered by previous theories might lead to a point at which my views of the group and psychoanalytic views of the individual could be compared, and thereby judged to be either complementary or divergent.

There are times when I think that the group has an attitude to me, and I can state in words what the attitude is; there are times when another individual acts as if he also thought the group had an attitude to him, and I believe I can deduce what his belief is; there are times when I think that the group has an attitude to an individual, and that I can say what it is. These occasions provide the raw material on which interpretations are based, but the interpretation itself is an attempt to translate into precise speech what I suppose to be the attitude of the group to me or to some other individual, and of the individual to the group. Only some of these occasions are used by me; I judge the occasion to be ripe for an interpretation when the interpretation would seem to be both obvious and unobserved.

The groups in which I have attempted to fill this role pass through a series of complex emotional episodes that permit the deduction of theories of group dynamics that I have found useful both in the illumination of what is taking place and in the exposure of nuclei of further developments. What follows is a summary of these theories.

THE WORK GROUP

In any group there may be discerned trends of mental activity. Every group, however casual, meets to 'do' something; in this activity, according to the capacities of the individuals, they cooperate. This cooperation is voluntary and depends on some degree of sophisticated skill in the individual. Participation in this activity is possible only to individuals with years of training and a capacity for experience that has permitted them to develop mentally. Since this activity is geared to a task, it is related to reality, its methods are rational, and, therefore, in however embryonic a form, scientific. Its characteristics are similar to those attributed by Freud (1911) to the ego. This facet of mental activity in a group I have called the Work Group. The term embraces only mental activity of a particular kind, not the people who indulge in it.

When patients meet for a group-therapy session it can always be seen that some mental activity is directed to the solution of the problems for which the individuals seek help. Here is an example of a passing phase in such a group:

Six patients and I are seated round a small room. Miss A suggests that it would be a good idea if members agreed to call each other by their Christian names.¹ There is some relief that a topic has been broached, glances are exchanged, and a flicker of synthetic animation is momentarily visible. Mr. B ventures that it is a good idea, and Mr. C says it would 'make things more friendly'. Miss A is encouraged to divulge her name but is forestalled by Miss D who says she does not like her Christian name and would rather it were not known. Mr. E suggests pseudonyms; Miss F examines her fingernails. Within a few minutes of Miss A's suggestion, the discussion has languished, and its place has been taken by furtive glances, an increasing number of which are directed towards me. Mr. B rouses himself to say that we must call each other something. The mood is now a compound of anxiety and increasing frustration. Long before I am mentioned it is clear that my name has become a preoccupation of the group. Left to its own devices the group promises to pass into apathy and silence.

For my present purposes I shall display such aspects of the episode as illustrate my use of the term work group. In the group itself I might well do the same, but that would depend on my assignment of the significance of the episode in the context of the group mental life, as far as it had then emerged. First, it is clear that if seven people are to talk together it would help the discussion if names were available. In so far as the discussion has arisen through awareness of that fact it is a product of work group activity. But the group has gone further than to propose a step that would be helpful in any group no matter what its task might be. The proposal has been made that Christian names should be used because that would make for friendliness. In the group of which I am speaking it would have been accurate to say that the production of friendliness was regarded as strictly relevant to therapeutic need. At the point in its history from which the example is taken, it would also be true to say that both Miss D's objection and Mr. E's proposed solution would be regarded as dictated by therapeutic need; and in fact I pointed out that the suggestions fitted in with a theory, not yet explicitly stated, that our diseases would be cured if the group could be conducted in such a way that only pleasant emotions were experienced. It will be seen that the demonstration of work-group function must include: the development of thought designed for translation into action; the theory, in this instance the need for friendliness, on which it is based; the belief in environmental change as in itself sufficient for cure without any corresponding change in the individual; and finally a demonstration of the kind of fact that is believed to be 'real'.

It so happened, in the instance I have given, that I was subsequently able to demonstrate that work-group function, though I did not call it that, based on the idea that cure could be

¹ See also the discussion of taboo on names in *Totem and Taboo* (Freud, 1913, p. 54)

obtained from a group in which pleasant feelings only were experienced, did not appear to have produced the hoped-for cure; indeed was being obstructed by some sort of difficulty in achieving a limited translation into the apparently simple act of assigning names. Before passing to the discussion of the nature of the obstructions to work-group activity, I would mention here a difficulty, which must already be evident, in the exposition of my theories. For me to describe a group episode, such as the one I have been discussing, and then to attempt the deduction of theories from it, is only to say that I have a theory that such-and-such took place and that I can say it again only in different language. The only way in which the reader can deliver himself from the dilemma is to recall to himself the memory of some committee or other gathering in which he has participated and consider to what extent he can recall evidence that could point to the existence of what I call work-group function, not forgetting the actual administrative structure, chairman and so forth, as material to be included in his review.

THE BASIC ASSUMPTIONS

The interpretations in terms of work-group activity leave much unsaid; is the suggested use of pseudonyms motivated only with a view to meeting the demands of reality? The furtive glances, the preoccupation with the correct mode for addressing the analyst, which became quite overt subsequently, cannot profitably be interpreted as related to work-group function.

Work-group activity is obstructed, diverted, and on occasion assisted, by certain other mental activities that have in common the attribute of powerful emotional drive. These activities, at first sight chaotic, are given a certain cohesion if it is assumed that they spring from basic assumptions common to all the group. In the example I have given it was easy to recognize that one assumption common to all the group was that they were met together to receive some form of treatment from me. But exploration of this idea as part of work-group function showed that ideas existed invested with reality by force of the emotion attached to them, that were not in conformity even with the somewhat naive expectation consciously entertained by the less sophisticated members. Furthermore, even sophisticated individuals, one member for example being a graduate in science, showed by their behavior that they shared these ideas.

The first assumption is that the group has met in order to be sustained by a leader on whom it depends for nourishment, material and spiritual, and protection. Stated thus, my first basic assumption might be regarded as a repetition of my remark, above, that the group assumed that 'they were met together to receive some form of treatment from me', only differing from it in being couched in metaphorical terms. But the essential point is that the basic assumption can only be understood if the words

in which I have stated it are taken as literal and not metaphorical.

Here is a description of a therapeutic group in which the dependent assumption, as I shall call it, is active.

Three women and two men were present. The group had on a previous occasion shown signs of work-group function directed towards curing the disability of its members; on this occasion they might be supposed to have reacted from this with despair, placing all their reliance on me to sort out their difficulties while they contented themselves with individually posing questions to which I was to provide the answers. One woman had brought some chocolate, which she diffidently invited her right-hand neighbor, another woman, to share. One man was eating a sandwich. A graduate in philosophy, who had in earlier sessions told the group he had no belief in God, and no religion, sat silent, as indeed he often did, until one of the women with a touch of acerbity in her tone, remarked that he had asked no questions. He replied, 'I do not need to talk because I know that I only have to come here long enough and all my questions will be answered without my having to do anything'

I then said that I had become a kind of group deity; that the questions were directed to me as one who knew the answers without need to resort to work, that the eating was part of a manipulation of the group to give substance to a belief they wished to preserve about me, and that the philosopher's reply indicated a disbelief in the efficacy of prayer but seemed otherwise to belie earlier statements he had made about his disbelief in God. When I began my interpretation I was not only convinced of its truth but felt no doubt that I could convince the others by confrontation with the mass of material — only some of which I can convey in this printed account. By the time I had finished speaking I felt I had committed some kind of gaffe; I was surrounded by blank looks; the evidence had disappeared. After a time, the man, who had finished his sandwich and placed the carefully folded paper in his pocket, looked around the room, eyebrows slightly raised, interrogation in his glance. A woman looked tensely at me, another with hands folded gazed meditatively at the floor. In me a conviction began to harden that I had been guilty of blasphemy in a group of true believers. The second man, with elbow draped over the back of his chair, played with his fingers. The woman who was eating, hurriedly swallowed the last of her chocolate. I now interpreted that I had become a very bad person, casting doubts on the group deity, but that this had been followed by an increase of anxiety and guilt as the group had failed to dissociate itself from the impiety.

In this account I have dwelt on my own reactions in the group for a reason which I hope may become more apparent later. It can be justly argued that interpretations for which the strongest evidence lies, not in the observed facts in the group but in the subjective reactions of the analyst, are more likely to find their

explanation in the psychopathology of the analyst than in the dynamics of the group. It is a just criticism, and one which will have to be met by years of careful work by more than one analyst, but for that very reason I shall leave it on one side and pass on to state now a contention that I shall support throughout this paper. It is that in group treatment many interpretations, and amongst them the most important, have to be made on the strength of the analyst's own emotional reactions. It is my belief that these reactions are dependent on the fact that the analyst in the group is at the receiving end of what Melanie Klein (1946) has called projective identification, and that this mechanism plays a very important role in groups. Now the experience of counter-transference appears to me to have quite a distinct quality that should enable the analyst to differentiate the occasion when he is the object of a projective identification from the occasion when he is not. The analyst feels he is being manipulated so as to be playing a part, no matter how difficult to recognize, in somebody else's phantasy—or he would do if it were not for what in recollection I can only call a temporary loss of insight, a sense of experiencing strong feelings and at the same time a belief that their existence is quite adequately justified by the objective situation without recourse to recondite explanation of their causation. From the analyst's point of view, the experience consists of two closely related phases: in the first there is a feeling that whatever else one has done, one has certainly not given a correct interpretation; in the second there is a sense of being a particular kind of person in a particular emotional situation. I believe ability to shake oneself out of the numbing feeling of reality that is a concomitant of this state is the prime requisite of the analyst in the group: if he can do this he is in a position to give what I believe is the correct interpretation, and thereby to see its connection with the previous interpretation, the validity of which he has been caused to doubt.

I must return to consider the second basic assumption. Like the first, this also concerns the purpose for which the group has met. My attention was first aroused by a session in which the conversation was monopolized by a man and woman who appeared more or less to ignore the rest of the group. The occasional exchange of glances amongst the others seemed to suggest the view, not very seriously entertained, that the relationship was amatory, although one would hardly say that the overt content of the conversation was very different from other interchanges in the group. I was, however, impressed with the fact that individuals, who were usually sensitive to any exclusion from supposedly therapeutic activity, which at that time had come to mean talking and obtaining an 'interpretation' from me or some other member of the group, seemed not to mind leaving the stage entirely to this pair. Later it became clear that the sex of the pair was of no particular consequence to the assumption

that pairing was taking place. There was a peculiar air of hopefulness and expectation about these sessions which made them rather different from the usual run of hours of boredom and frustration. It must not be supposed that the elements to which I would draw attention, under the title of pairing group, are exclusively or even predominantly in evidence. In fact there is plenty of evidence of states of mind of the kind we are familiar with in psychoanalysis; it would indeed be extraordinary, to take one example, if one did not see in individuals evidence of reaction to a group situation that could approximate to an acting out of the primal scene. But, in my opinion, to allow one's attention to be absorbed by these reactions is to make difficult any observation of what is peculiar to the group; furthermore I think such concentration at worst can lead to a debased psychoanalysis rather than an exploration of the therapeutic possibilities of the group. The reader must, then, assume that in this, as in other situations, there will always be a plethora of material familiar in a psychoanalysis, but still awaiting its evaluation in the situation of the group; this material I propose for the present to ignore, and I shall now turn to a consideration of the air of hopeful expectation that I have mentioned as a characteristic of the pairing group. It usually finds expression verbally in ideas that marriage would put an end to neurotic disabilities; that group therapy would revolutionize society when it had spread sufficiently; that the coming season, spring, summer, autumn, or winter, as the case may be, will be more agreeable; that some new kind of community — an improved group — should be developed, and so on. These expressions tend to divert attention to some supposedly future event, but for the analyst the crux is not a future event but the immediate present — the feeling of hope itself. This feeling is characteristic of the pairing group and must be taken by itself as evidence that the pairing group is in existence, even when other evidence appears to be lacking. It is itself both a precursor of sexuality and a part of it. The optimistic ideas that are verbally expressed are rationalizations intended to effect a displacement in time and a compromise with feelings of guilt—the enjoyment of the feeling is justified by appeal to an outcome supposedly morally unexceptionable. The feelings thus associated in the pairing group are at the opposite pole to feelings of hatred, destructiveness, and despair. For the feelings of hope to be sustained it is essential that the 'leader' of the group, unlike the leader of the dependent group and of the fight-flight group, should be unborn. It is a person or idea that will save the group—in fact from feelings of hatred, destructiveness, and despair, of its own or another group—but in order to do this, obviously the Messianic hope must never be fulfilled. Only by remaining a hope does hope persist. The difficulty is that, thanks to the rationalization of the dawning sexuality of the group, the premonition of sex which obtrudes as hope, there is a tendency

for the work group to be influenced in the direction of producing a Messiah, be it person, idea or Utopia. In so far as it succeeds, hope is weakened; for obviously nothing is then to hope for, and, since destructiveness, hatred, and despair have in no way been radically influenced, their existence again makes itself felt. This turn accelerates a further weakening of hope. If, for purposes of discussion, we accept the idea that the group should be manipulated in order to encompass hopefulness in the group, then it is necessary that those who concern themselves with such a task, either in their capacity as members of a specialized work group such as I shall describe shortly, or as individuals, should see to it that Messianic hopes do not materialize. The danger, of course, is that such specialized work groups will either suffer through excess of zeal and thereby interfere with innocent, creative work-group function or alternatively allow themselves to be forestalled and so put to the troublesome necessity of liquidating the Messiah and then recreating the Messianic hope. In the therapeutic group the problem is to enable the group to be consciously aware of the feelings of hope, and its affiliations, and at the same time tolerant of them. That it is tolerant of them in the pairing group is a function of the basic assumption and cannot be regarded as a sign of individual development.

The third basic assumption is that the group has met to fight something or to run away from it. It is prepared to do either indifferently. I call this state of mind the fight-flight group; the accepted leader of a group in this state is one whose demands on the group are felt to afford opportunity for flight or aggression and if he makes demands that do not do so, he is ignored. In a therapeutic group the analyst is the work-group leader. The emotional backing that he can command is subject to fluctuation according to the active basic assumption and the extent to which his activities are felt to fit in with what is required of a leader in these differing states of mind. In the fight-flight group the analyst finds that attempts to illuminate what is taking place are obstructed by the ease with which emotional support is obtained for such proposals as express either hatred of all psychological difficulty or alternatively the means by which it can be evaded. In this context I would remark that the proposal to use Christian names, in the first example I gave, might well have been interpreted as an expression of the desire for flight in a fight-flight group though, in fact, for reasons connected with the stage of development that the group had reached, I interpreted it in terms of work-group function.

CHARACTERISTICS COMMON TO ALL BASIC-ASSUMPTION GROUPS

Participation in basic-assumption activity requires no training, experience, or mental development. It is instantaneous, inevitable, and instinctive: I have not felt the need to postulate

the existence of a herd instinct to account for such phenomena as I have witnessed in the group.² In contrast with work-group function basic-assumption activity makes no demands on the individual for a capacity to cooperate but depends on the individual's possession of what I call valency—a term I borrow from the physicists to express a capacity for instantaneous involuntary combination of one individual with another for sharing and acting on a basic assumption. Work-group function is always in evidence with one, and only one, basic assumption. Though the work group function may remain unaltered, the contemporary basic assumption that pervades its activities can be changing frequently; there may be two or three changes in an hour or the same basic assumption may be dominant for months on end. To account for the state of the inactive basic assumptions I have postulated the existence of a proto-mental system in which physical and mental activity is undifferentiated, and which lies outside the field ordinarily considered profitable for psychological investigations. It must be borne in mind that the question whether a field is suitable for psychological investigation depends on other factors besides the nature of the field to be investigated, one being the potency of the investigating psychological technique. The recognition of a field of psychosomatic medicine illustrates the difficulty that attends any attempt at determination of the line that separates psychological from physical phenomena. I propose therefore to leave indeterminate the limits that separate the active basic assumption from those I have relegated to the hypothetical proto-mental system.

Many techniques are in daily use for the investigation of work-group function. For the investigation of basic-assumption phenomena, I consider psychoanalysis, or some extension of technique derived directly from it, to be essential. But since work group functions are always pervaded by basic-assumption phenomena it is clear that techniques that ignore the latter will give misleading impressions of the former.

Emotions associated with basic assumptions may be described by the usual terms, anxiety, fear, hate, love, and the like. But the emotions common to any basic assumption are subtly affected by each other as if they were held in combination peculiar to the active basic assumption. That is to say, anxiety in the dependent group has a different quality from anxiety evident in the pairing group, and so on with other feelings.

All basic assumptions include the existence of a leader, although in the pairing group, as I have said, the leader is 'non-existent', i.e. unborn. This leader need not be identified with any individual in the group; it need not be a person at all but may be identified with an idea or an inanimate object. In the dependent group the place of leader may be filled by the history

² In contrast with W. Trotter (1916) but in agreement with Freud (1921, p. 3)

of the group. A group, complaining of an inability to remember what took place on a previous occasion, sets about making a record of its meetings. This record then becomes a 'bible' to which appeal is made, if, for example, the individual whom the group has invested with leadership proves to be refractory material for moulding into the likeness proper to the dependent leader. The group resorts to bible-making when threatened with an idea the acceptance of which would entail development on the part of individuals comprising the group. Such ideas derive emotional force, and excite emotional opposition, from their association with characteristics appropriate to the pairing-group leader. When the dependent group or the fight-flight group is active, a struggle takes place to suppress the new idea because it is felt that the emergence of the new idea threatens the *status quo*. In war, the new idea — be it a tank or a new method for selecting officers — is felt to be 'new-fangled', i.e. opposed to the military bible. In the dependent group it is felt to threaten the dependent leader, be that leader 'bible' or person. But the same is true of the pairing-group, for here the new idea or person, being equated with the unborn genius or Messiah, must, as I have said before, remain unborn if it, or he, is to fulfill the pairing-group function.